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10/065,526

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EXAMINER

THOMAS, ASHISH

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/065,526	<b>Applicant(s)</b> BROSSMAN ET AL.	
	<b>Examiner</b> ASHISH K. THOMAS	<b>Art Unit</b> 2625	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 September 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Response to Arguments*

1. Applicant's arguments filed on 9/15/2008 have been fully considered but they are not persuasive.

2. In page 9, lines 27-29 of the remarks, the Applicant argues that "Schwartz does not teach or reasonably suggest that the print options selected from the printer pool are an independent set of printer options." The Applicant goes on to state in page 10, paragraph 1 of the remarks that "Schwartz is not operable to allow the user to select printer options which are not part of the printer pool. For Example, if the user in Schwartz wished to select a staple option for the print job and no printer in the printer pool is capable of stapling, then this option would not be available. "

In response, the Examiner respectfully disagrees with the Applicant for the following reasons. Please note that the aforementioned explanation, found in the remarks received on 9/15/2008, is not cited in the claim limitations. In view of this, the Examiner must interpret the claim limitations as they are written. The claim merely states "selecting a device independent set of desired printer options for a specified print source file." The term "device independent" is known in the art to usually mean **not limiting to a specific printer**. Therefore, the Examiner believes that the Schwarz reference teaches a print ticket consisting of options not limited to a specific printer, rather it consists of options that can be carried out by a general set of printers. Note that the printer pool 30, stated in column 5, lines 1-16 of Schwarz, is not specific to one printer; Rather, it consists of all available options from a general set of printers. This,

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the Examiner believes, can be reasonably interpreted as device independent set of print options.

3. In page 10, paragraph 2 of the remarks, the Applicant contends that Schwarz does not “teach or reasonably suggest converting device independent printer options stored in the job ticket to printer specific commands.”

In response, the Examiner respectfully disagrees with this assertion as well. Please note that the claim language is silent on what actually constitutes the conversion step. In view of this, the Examiner is using the broadest reasonable interpretation of claim language to mean that conversion merely could be the change from a generalized job ticket to a printer specific job instruction once a printer is selected. Column 5, lines 35-45 of the Schwarz reference teaches a selected printer token 50 that contains the network address and name of the selected printer. Because a specific printer is now attached to the token, this then means that instructions associated with the token is specifically pointed toward the selected printer. And this is an example of converting device independent printer options to printer specific options. Before the selection of the printer, the job options were geared toward a general set of printers. After the selection of the printer, the job options are geared toward a specific printer.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hower(U.S. 5,467,434) in view of Schwarz(U.S. 6,476,927).

Regarding claim 6, Hower Jr discloses an apparatus comprising: a plurality of printers, each having printer capabilities that vary from other printers; **(Fig 2 12-1 through 12-N and Col 3 Lin 40-47 teaches a plurality of printers.)** an information handling system **(Fig 2 #25 and #37)** communicating with said plurality of printers for selectively transmitting thereto specific print source files, **(Column 3, lines 50-55 that the electronic document corresponding to a ticket is transferred to a selected printer.)** said information handling system having a processor and memory associated with said processor; **(Column 3, lines 50-55 inherently teaches the processor and memory; otherwise, it would not be possible to perform the stated functionalities.)** program instructions stored in said memory accessible to said processor **(Col 4 Lin 52-55)** and effective when executing on said processor to: allow an operator to select for a specific print source file **(Col 3 Lin 50-66 discloses a user interface that permits a user input print job options in ticket.)** set of desired printer options stored in a job ticket associated with the print source file; **(Fig 2 #16, Fig 4-5, Col 4 Lin 2-10 teach a job ticket 35 that has all the inputted options.)** retain a plurality of printer capabilities files each providing a set of definitions of printer capabilities for a corresponding one of said plurality of printer; **(Col 4 Lin 49-64 discloses that the combination examiner (#37) stores printer profiles so that printer capabilities can be compared to the desired options designated in the print job ticket.)** select a printer from the said plurality of printers; **(Column 4, lines 13-**

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**26 teaches that a printer is selected based on the job ticket.)** compare the operator selected printer options in the stored job ticket with the printer capabilities defined in the printer capabilities file corresponding to the selected printer **(Col 4 Lin 49-64 teaches a comparison step as well.)** and then if the printer options in the stored job ticket are available within the defined capabilities of the printer capabilities file of the selected printer, then send the at least one printer specific command and the print source file to the selected printer; **(Fig 8 discloses an example of evaluation of one desired option corresponding to a plurality of printer profiles and Col 6 Lin 5-19 discloses this comparison is done for all the desired print options, Fig 8 #72-1 discloses the job ticket and corresponding file is sent to the responsive printer's queue.)** and signal an error if the printer options are unavailable within the defined capabilities of the printer capabilities file of the selected printer. **(Fig 8 #54, Col 6 Lin 20-27 teaches that an error message is displayed if there is a mismatch.)**

Hower does not teach an apparatus that allows an operator to select for a specific print source file, a device independent set of desired printer options stored in a job ticket associated with the print source file. Nor does Hower teach the ability to convert the device independent printer options in the stored job ticket to the at least one printer specific command based on the defined capabilities file of the selected printer.

Schwarz on other hand, teaches an apparatus that allows an operator to select for a specific print source file, a device independent set of desired printer options stored in a job ticket associated with the print source file. **(Column 5, lines 1-16 details the user's ability to set print options for the job ticket. This reference further**

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**discloses that the print options are selected from a printer pool 30 of all available printer options. This, in turn, reads on device independent printer options since the selected options are not based on just one device, rather they are based on a plurality of devices. More importantly, column 4, lines 54-58 teaches that a printer is only selected after the job ticket was formulated.)** Schwarz further teaches the ability to convert the device independent printer options in the stored job ticket to the at least one printer specific command based on the defined capabilities file of the selected printer. **(The claim language does specify how the conversion is done. In view of this, the Examiner is using the broadest reasonable interpretation of the claim language in interpreting the conversion step as one wherein the device independent printer options are extracted so that a print command is send to the selected printer. Such a print command is taught in column 4, lines 59-62. )**

Therefore, it would have been obvious for one of ordinary skill in the art, at the time of the present invention, to modify Hower with Schwarz to fully put forth the apparatus claimed in claim 6.

The motivation behind this modification is to put forth a print system that successfully outputs a print job by overcoming any possible mismatches between a job ticket and a specific printer. If the printer options specified in a job ticket are device dependent, then it would be hard to overcome any mismatches between the job ticket and the printer. Whereas if the print options specified in a job ticket are device independent, it would be easier to overcome mismatches by making it possible for the

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print job to be routed to another printer; here, the print job can be successfully outputted despite the mismatch with one printer.

Regarding claim 1, please refer to the rejection of claim 1. Note that claim 1 is a method claim that corresponds to the apparatus claimed in claim 6.

Regarding claim 11, please refer to the rejection of claim 1. Note that claim 11 describes a computer-readable medium storing a computer program that corresponds to the apparatus claimed in claim 6.

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Regarding claims 2, 7, and 12, Hower further describes storing a plurality of definitions of printer capabilities, with at least two of the stored definitions being in different command formats, wherein the command formats for at least one printer of the plurality of printers map to the device independent set of desired printer options.

**(Column 4, lines 49-65 raises the possibility of a plurality of mismatches. Note that the plurality of mismatches read on the concept of different command formats. Furthermore, Hower also teaches the possibility of a match between the printer and job ticket; this, in turn, reads on a printer mapping to the device independent printer options.)**

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Regarding claims 3, 8, and 13, Hower further teaches that at least three of the stored definitions are in different command formats. **(Column 4, lines 5-10 teaches that there could be a mismatch in quantity, enlargement, finishing, and so on.**



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**These are at least three examples of different command formats between the options contained in a print job ticket and a printer.)**

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Regarding claims 4, 9, and 14, Schwarz further teaches the converting device independent printer options comprises incorporating into a printable format data file the at least one printer specific command. **(Column 4, lines 54-63 teaches that the print job document along with the options contained in a print job ticket are sent to the selected printer. This step inherently teaches the incorporation of a printer specific command since the print job ticket options and print job are transmitted to a selected printer. Note that the selection of a specific printer must mean that the attributes of the selected printer must match with the options specified in the print job ticket. And such a match implies the existence of a printer specific command.)**

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Regarding claims 5, 10, and 15, Hower further discloses signaling an error comprises a message indicating that the requested option capability exceeds limits available to a printer which has a limited capability for the requested option. **(Column 6, lines 20-30 specifies that an error message is displayed to the user.)**

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Regarding claims 16, 18, and 20, Schwarz further discloses that an operator is able to select a specified printer amongst a plurality of printers for a specific print job. **(Col 5 Lin 17-26)**

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Regarding claims 17 and 19, Schwarz further compares the definition of printer capabilities for the specified printer with the set of device independent printer options to determine if the device independent set of desired printer options is within the defined capabilities of the specified printer. **(Fig 7 #74 and column 5, line 60-column 6 line 15 teach the type of comparison step stated in the claim language.)**

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### ***Conclusion***

**5. THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

**6.** Any inquiry concerning this communication or earlier communications from the examiner should be directed to ASHISH K. THOMAS whose telephone number is (571)272-0631. The examiner can normally be reached on 9:00 a.m. - 5:30 p.m. EST.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David K. Moore can be reached on 571-272-7437. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ashish K Thomas/  
Examiner, Art Unit 2625

/David K Moore/

Supervisory Patent Examiner, Art Unit 2625